



**GLOBAL
BATTERY
ALLIANCE**

BATTERIES POWERING
SUSTAINABLE DEVELOPMENT

CHARTER

November 2024

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1. INTRODUCTION

1.1 Definitions

Capitalized terms used in this Charter have the meaning assigned to those terms in this Charter.

1.2 Statement of Purpose

The Global Battery Alliance (“Alliance”) is a global multi-stakeholder, pre-competitive partnership that seeks to accelerate the scale-up of the battery value chain sustainably and responsibly to realize the GBA 2030 Vision.

1.3 Vision and Principles

The GBA’s vision is that batteries power sustainable development. The GBA Principles are set forth in Appendix 1 and are the basis for GBA’s actions.

1.4 Mission

The Alliance’s mission is to foster:

- a) Insight – facilitate the generation, mapping and pooling of information;
- b) Collaboration – promote informed dialogue and wide-ranging partnership; and
- c) Action – catalyse, accelerate and scale up collaborative action.

1.5 Values

1.5.1 The following Values will guide the Alliance:

- a) Innovation – promote and demonstrate innovative value chain partnerships in support of inclusive and sustainable economies;
- b) Respect – promote equity and dignity of the human being as defined by the United Nation’s Guiding Principles and the GBA Principles
- c) Common good – act in good faith, in full compliance with any applicable laws and regulations and promote practices that are impartial – transcending the singular interest of individuals or organizations;

- d) Collaboration – promote inclusivity, collaboration and partnership, both within the Alliance, as well as with those individuals and organizations external to the Alliance whose purpose and vision are aligned with the Alliance’s Purpose and Vision;
- e) Impact – achieve results that are proportionate to the collective resources, capacity, and leverage of the Alliance and its Members;
- f) Transparency, accountability, integrity – promote transparency, accountability and integrity in the Alliance’s actions, membership and funding; and
- g) Long-term sustainable value creation – promote socioeconomic development in accordance with the Sustainable Development Goals set by the United Nations.

2. DECISION-MAKING AUTHORITY

- 2.1.** The decision-making authority of the GBA is governed by this Charter.

3. LEGAL PERSON

- 3.1.** The Global Battery Alliance is a not-for-profit organization (AISBL) organized under Belgian law.

4. MEMBERS

4.1 Members

- 4.1.1 This section 4 will govern the rights, obligations, appointment and removal of legal entities associated with the Alliance.
- 4.1.2 Members: Any legal entity or any NGO, Government, Civil Society, Academia, Union, Indigenous Peoples or initiative or association etc. that commits to the GBA principles (listed in Appendix 1) and complies with the GBA due diligence requirements may join.
- 4.1.3 The GBA Board of Directors is responsible for the establishment of member categories and dues amounts, including fees for private sector members. Each Member must ensure that it exercises its rights and carries out its obligations under this Charter for the dominant purpose of advancing the interests of the Alliance.
- 4.1.4 All members of the Alliance will receive quarterly communications regarding the Alliance and will be provided access to the Annual General Assembly (further details of which are contained in section 6 below).
- 4.1.5 Members of the Alliance may be involved in activities of the Alliance, including participation in working groups, Steering Committees and projects and initiatives.
- 4.1.6 Each Member is expected to and will use its best endeavours to participate in the activities of the Alliance and demonstrate a willingness to substantially contribute to, promote and achieve the Alliance's Purpose, Vision, and Mission and adhere to its Principles and Values.
- 4.1.7 Specific recommendations or requirements for the promotion, achievement or substantial contribution to the Alliance in a manner consistent with this Charter may be specified by the Board of Directors on an occasional basis.
- 4.1.8 At all times the Member will act in full compliance with any applicable laws and regulations as well as the policies adopted by the Alliance.

4.2 Application and Approval Process for Members

- 4.2.1 The following application process set out in subsections (a) to (g) below will be followed with respect to any organization that wishes to apply to be a member.
 - a) An Applicant that wishes to join the Alliance as a member is required to submit an application, which shall contain the criteria for membership. The Applicant will

receive a response noting that the application has been received by the Alliance and setting out, among other things, the expected timeframe in which the Applicant's application will be processed.

- b) The Secretariat will review the Applicant's application and respond with the outcome of such review in a timely manner.
- c) The Secretariat will conduct due diligence on the Applicant which will assess the Applicant's interest in the Alliance's Purpose, Vision, and Mission as well as the Applicant's adherence to the Alliance's Principles, Values, and relevant policies ("Due Diligence").
- d) The Secretariat may ask the Applicant any further questions it deems necessary to assess the Applicant's application. The due diligence assessment process will be approved by the GBA Board of Directors.
- e) The Secretariat will review the Applicant's application and make a recommendation to the Board of Directors or any subcommittee the Board of Directors establishes for the purposes of assessing applications ("Selection Committee") as to whether the Secretariat believes the application should be accepted or rejected. It will be the authority of the Board of Directors as to the approval or rejection of an application.
- f) The outcome of the decision of the Board of Directors as to the approval or rejection of an Applicant's application will be communicated to the Applicant in writing. In circumstances where the application is rejected, the written communication should provide sufficient detail as to the reason or reasons giving rise to the rejection.
- g) The member or Applicant has the right to appeal the decision to the Board of Directors.

4.3 Confidentiality

Unless otherwise:

- a) agreed by the relevant group;
- b) specified in the Policies;
- c) already in the public domain other than as a result of disclosure by a Member in breach of its obligations under this Charter; or

- d) required by law, all information in relation to the Alliance that is provided or disclosed to or otherwise received by a Member through its involvement in any GBA activity is regarded as confidential and must not be disclosed by a Member.

4.4 Withdrawal and Removal

4.4.1 Withdrawal of Members

- a) Subject to section 4.5.4, a Member may at any time cease to be a Member through a voluntary resignation submitted to the Secretariat in writing. Similarly, a Member may also cease to be a Member because of a decision taken by the three-quarters of the Board of Directors in accordance with the process set out in section 4.5.
- b) In the event that a member wishes to withdraw their membership of the Alliance, a six months' notice period applies during which time the obligation to pay membership fees continues.

4.5 Removal of Membership

4.5.1 In the event that:

- a) A Member is found to be in material breach of any of its obligations as determined by the GBA Board of Directors under this Charter and does not remedy such breach within thirty (30) days of receipt of a written notice from the Secretariat identifying the material breach and its remedy, including the payment of dues which will be considered delinquent if not paid within 90 days of the start of a new fiscal year (Jan 1 to Dec 31);
- b) The action of a Member brings, will bring, or has the potential to bring, the Alliance into disrepute, as assessed by the GBA Board of Directors;
- c) A Member becomes bankrupt or insolvent;
- d) A Member is affected by an embargo, sanction or other similar programme, including but not limited to any sanction, prohibition or restriction under any United Nations resolution imposed by any jurisdiction; or
- e) It is determined that a Member is no longer in a position or willing to support the Alliance's Purpose, Vision, Mission, Principles, and/or Values the relevant Member may be removed as a Member of the Alliance in accordance with the process set out in the balance of this section 4.5.

- 4.5.2 Each of the conditions listed above under section 4.5.1 (a) to (e), if met alternatively or cumulatively, will be considered a “Removal Event”). Upon the occurrence of a Removal Event, the Secretariat may recommend to the Board of Directors that the relevant Member be removed as a Member of the Alliance. At its next meeting, the Board may either validate or overturn the Secretariat’s recommendation regarding the removal of the Member.
- 4.5.3 A Member’s rights and obligations in relation to the Alliance may be suspended by the Secretariat while the Secretariat investigates any facts, allegations or other circumstances which may give rise to grounds for removal of that Member under section 4.5 for such time as the Secretariat determines necessary. The Member being investigated must cooperate in good faith with the Secretariat to enable the Secretariat to conduct its investigation efficiently and effectively.
- 4.5.4 A Member that has withdrawn or been removed from the Alliance shall not be entitled to any repayment or refund of any costs, fees, expenses, or contributions incurred by that Member in relation to this Charter and the work of the Alliance and must cease in using the logo or referring themselves as a member immediately upon notification.

5. OBSERVERS

- 5.1** Representatives from prospective member organisations and interested stakeholders whose support and engagement is determined to be of strategic importance to the GBA, including specifically relevant Subject Matter Experts, International Organizations and Government entities, may be invited by the GBA Secretariat to attend GBA meetings as observers, when this can be practically accommodated. They have no voting rights, but may be invited to express their views on specific matters.
- 5.2** Interested stakeholders may request an invitation from the Board of Directors to participate in GBA activities and meetings as observers. Attendance of observers is approved (on a no-objection basis) by the Board in case of a Board meeting or by the relevant Steering Committee in case of a meeting of the Steering Committee or a Working Group that sits under the Steering Committee.
- 5.3** During the approval of prospective observers, the Board of Directors or the Steering Committee determines the duration of the observer role (i.e. a single meeting, multiple meetings, long-term). For long-term observers, the status may be reviewed at regular intervals to determine the continuation or cessation of the observer participation.
- 5.4** Prospective corporate members observer status will typically be limited to a single meeting unless otherwise granted by the Board of Directors or the Steering Committee.

6. ANNUAL GENERAL ASSEMBLY

- 6.1** A general assembly of the Alliance will be held annually (“Annual General Assembly”). All Members will be entitled to attend. The purpose of the Annual General Assembly is to:
- a) Take stock of the Purpose, Vision, Mission, Principles, and Values of the Alliance;
 - b) catalyse partnerships and commitments to action;
 - c) exchange knowledge and best practices;
 - d) raise global awareness of the Alliance’s Purpose and Vision and progress; and
 - e) Provide the members with a status report on the State of the GBA.
- 6.2** The Secretariat will be responsible for organizing the Annual General Assembly in accordance with section 12.5 (g).

7. GOVERNANCE AND ORGANIZATION

7.1 Governance and Organization

- 7.1.1 The following groups will be responsible for the governance and day-to-day work of the Alliance in accordance with the terms of this Charter. Participants of formal Alliance governing bodies shall not be remunerated, with exception of the Secretariat, including the Executive Director.
- a) Board of Directors;
 - b) Executive Committee;
 - c) Leadership Council
 - d) Steering Committees;
 - e) Secretariat
 - f) Executive Director appointed by the GBA Board of Directors.

8. BOARD OF DIRECTORS

8.1 Role and Responsibilities of the Board of Directors.

8.1.1 The Board of Directors is responsible for the following matters:

- a) reviewing and approving the Strategic Plan and Budget and, as needed from time-to-time, reviewing and approving any material changes to the approved Strategic Plan and Budget
- b) reviewing and approving the draft Policies; the Policies will not be effective unless approved by the Board of Directors;
- c) review and approve amendments of this Charter;
- d) reviewing and commenting on the Annual Reports, which are prepared in accordance with section 12.5. (h);
- e) promoting the work of the Alliance where appropriate;
- f) actively participating in events organized under the auspices of the Alliance (as relevant);
- g) facilitating the engagement of and the dialogue between key stakeholders to further the work of the Alliance;
- h) facilitating the fundraising efforts of the Secretariat (as relevant);
- i) appointing the GBA Executive Director;
- j) Establishing a process for consulting with non-member governments and civil society;
- k) Mandating the conduct and reviewing the results of an annual financial audit of the GBA.

8.2 Board of Directors Composition

8.2.1 The Board of Directors will comprise no less than five (5) and no more than twenty (20) members with equal representation from the private sector across the value chain, which may include mining, refining, processing, battery and electric vehicle Original Equipment Manufacturers (OEMs), utility companies and recyclers and the public sector which may include civil society and non-governmental organisations, labour unions, governments,

international organisations, academia or representatives of Indigenous Peoples. All Members of the Executive Committee are Members of the Board of Directors. The exact composition of the Board in terms of representation and eligibility of each member category will be determined by the Annual General Assembly.

- 8.2.2 Subject to the requirements of section 8.2.1, any Member may nominate itself or another Member to fill a vacancy on the Board of Directors. The Members will vote for the Board of Directors annually (in case of replacements) and every three years during the Annual General Meeting of the GBA. Election to the Board of Directors will be decided by a simple majority vote, ensuring equal representation of public and private sectors.
- 8.2.3 The Executive Director holds an ex-officio (non-voting) seat on the Board of Directors.
- 8.2.4 The term of appointment of members of the Board of Directors will be three (3) years and may be renewed at the expiration of such term. Board members may not serve more than three consecutive terms.

8.3 Board of Directors

- 8.3.1 The Executive Committee Members including the Chair will be elected by the members of the Board of Directors annually. The Chair may serve as Chair for one year and can be re-elected for consecutive terms. The Chair may not serve more than three consecutive terms.
- 8.3.2 The role of the Board of Directors Chair is to:
 - a) chair and moderate the meetings of the Board of Directors;
 - b) facilitate outreach on behalf of the Alliance; and
 - c) review any relevant material in advance of each meeting of the Board of Directors.
- 8.3.3 Members of the Board of Directors in good standing may nominate themselves to act as a Chairperson of the Board of Directors. The Board of Directors will vote on the nominees with such vote to be carried out in accordance with the procedure set out in section 8.4 before the start of each fiscal year.

8.4 Meetings and Voting of the Board of Directors

- 8.4.1 The Board of Directors will meet at least three times per annum and otherwise as it sees fit.

- 8.4.2 A quorum for a meeting of the Board of Directors is at least one-half of all the members of the Board of Directors and include equal representation from the public and private sector. A quorum must be present for any vote of the Board of Directors and to call to order any official meeting.
- 8.4.3 The Board of Directors will use reasonable endeavours to adopt resolutions by consensus. Taking account of the view of the Board members, the GBA Board Chair may decide that a vote is required. A simple majority vote of the Members who are present and entitled to vote will be sufficient to decide any matter before the Board of Directors, ensuring equal representation of the public and private sector. An abstention is not a negative vote. Absentee voting or by proxy is permitted.
- 8.4.4. A Board Member may be represented in the GBA Board Meetings by written proxy. The proxy may also include specific voting instructions. A duly signed proxy must be received by the GBA Secretariat by the date stated in the Board Meeting Invitation.
- 8.5** Each member elected to the Board of Directors is entitled to one (1) vote.

9. EXECUTIVE COMMITTEE

9.1 Role of the Executive Committee

9.1.1 The role of the Executive Committee is to:

- a) serve as a structured group to raise and discuss topics affecting the Alliance and to act on behalf of the Board of Directors in between meetings or where applicable, as assigned by the Board of Directors. Any action taken on behalf of the of the Board of Directors will be reported to the Board immediately and stand for ratification at the next Board meeting; and

9.1.2 The Executive Committee is responsible for the following matters:

- a) reviewing the draft of the Strategic Plan and Budget prepared by the Secretariat for final review and approval by the Board of Directors and as needed, reviewing any material changes to the Strategic Plan and Budget for final review and approval by the Board of Directors in accordance with the process set out in section 14;
- b) Supervising the work of the Secretariat, including performance and pay reviews
- c) monitoring the activities of the Steering Committees and working groups to ensure that they are consistent with the approved GBA Strategic Plan and Budget;
- d) commenting on Annual Reports prepared by the Secretariat with respect to the Alliance's performance and the impact of the Alliance's work against its Purpose, Vision, Mission, Principles, and Values;
- e) reviewing and recommending for approval by the Board of Directors any amendments of this Charter.

9.1.3. Officers of the Board of Directors

The Officers of the Board should represent the various segments of membership.

Chair: Works in partnership with the Secretariat and Board in achieving the organization's mission

- 1) Chairs meetings of Executive Committee after developing the agenda with the Secretariat;
- 2) Raises key issues and builds consensus with the Board of Directors;

- 3) In conjunction with the Secretariat, Treasurer, and Board of Directors, tracks the performance of the Alliance's achievement of goals and financial health;
- 4) Leads the Board of Directors and Executive Committee with the Vice Chair, Treasurer, and Immediate Past Chair (At-large position when there is no Immediate Past Chair), and Secretariat;
- 5) Helps guide and mediate Executive Committee and the Board of Directors actions with respect to organizational priorities;
- 6) Serves as one of the spokespeople for the Alliance in alignment with its vision, mission and purpose;
- 7) Along with the Board of Directors and Secretariat, ensures the Alliance's strategy aligns to the agreed-upon vision and ensures that the strategy is being followed over time;
- 8) Leads the performance review process for the Executive Director.

Vice Chair: Performs Chair responsibilities when the Chair cannot be available (see Chair duties)

1. Serves on the Executive Committee with the Chair, Treasurer, Secretariat and
2. Participates closely with the Chair to develop and implement leadership transition plans.

Treasurer: Monitors finances of the Alliance and reports to the Board of Directors on a regular schedule (but no less often than twice per year) the status of the GBA's financial condition.

1. Works with Secretariat and the Budget Committee to present annual budget to the Board of Directors;
2. Ensures development and Board of Directors review of financial policies and procedures;
3. In conjunction with Secretariat, develops a financial risk mitigation plan;
4. Serves on the Executive Committee with the Vice Chair, Secretariat and Immediate Past Chair (At-large position when no Immediate Past Chair);
5. Serves as Chair of the Budget Committee with Board members and at-large members;
6. Oversees the completion of financial reports;

7. Oversees the audit of the organization's financial practices and financial performance.

Immediate Past Chair (At-large position when no Immediate Past Chair).

1. Serves in a consulting role to the Organization and the Board of Directors

9.1.4 Terms of Office

The Chair, Vice Chair, and Treasurer shall be elected by the Board of Directors annually from among the Board of Directors members. An elected officer shall take office immediately following his or her election and shall hold office for a term of one year and until such officer's successor is elected, or until such officer's death, resignation or removal. An officer can serve for no more than three one year consecutive full terms but must be re-elected annually by the Board of Directors. A vacancy in the office of Chair, Vice Chair, Immediate Past Chair (At-large if not Immediate Past Chair), Treasurer shall be filled at any meeting of the Board of Directors. In the event of a vacancy in the office of Chair, the Vice Chair shall succeed to that office, and the resulting vacancy in the office of Vice Chair shall be filled by the Board of Directors. An officer may continue to serve the full term (three years) as a Board member after serving on the Executive Committee for a single or multiple consecutive terms.

9.2 Executive Committee Composition

The Executive Committee will be comprised of the Officers of the Board of Directors and the Executive Director (ex-officio). Other members may be appointed from time to time on an as needed basis. The composition of the Executive Committee will be balanced to ensure representation from the private sector, government and civil society.

- 9.2.1 The Chair of the Board of Directors will serve as the Chair of the Executive Committee.

9.3 Meetings and Voting of the Executive Committee

- 9.3.1 The Executive Committee will meet regularly, at least four times per year and otherwise as it sees fit.
- 9.3.2 The Chair of the Executive Committee must ensure that the proceedings of any Executive Committee meeting and any decision of the Executive Committee at such meetings are recorded in a written record and such written record will be definitive evidence of the proceedings of and decisions made at the Executive Committee meeting which will be shared with the Board of Directors for ratification of any decision made in between Board meetings.

10. LEADERSHIP COUNCIL

10.1 The role of the Leadership Council is to provide:

- a) overall guidance and stewardship
- b) promoting the Alliance as the senior most representatives of the GBA
- c) actively participating in events organized under the auspices of the Alliance
- d) supporting the engagement of and the dialogue between key stakeholders to further the work of the Alliance
- e) supporting the fundraising efforts of the Secretariat

10.2 Leadership Council Composition

- a) The Leadership Council shall be comprised of the Heads of the organization of member organizations (or their representatives) and shall meet from time to time as suggested by the Board of Directors. The size and composition of the Leadership Council will be balanced to ensure equal representation from the private sector and public sector including civil society and non-governmental organisations, labour unions, governments, international organisations, academia or representatives of Indigenous Peoples.
- b) The Board of Director may invite members of the Leadership Council to act as annually rotating Co-Chairs for GBA meetings, including the Annual General Meeting.

11. STEERING COMMITTEES

11.1 The Board of Directors has the authority to set up and confirm the mandates of Steering Committees, to support GBA's decision- making and broad multi-stakeholder engagement, and provide technical oversight for initiatives designed to support the GBA's vision and mission, as determined appropriate.

11.2 The role of the Steering Committees is to:

- a) provide technical oversight of GBA initiatives and monitor programme budgets

- b) report and make recommendations to the Board of Directors regarding progress and strategic plans for the initiatives
- c) actively participate in activities under the umbrella of the initiatives
- d) establish working groups to facilitate effective member engagement in the initiatives
- e) establish independent advisory functions (including external advisory groups) to inform decision making by the Steering Committee and the Board of Directors
- f) supervise the selection of service providers in line with GBA procurement guidelines

11.3 Steering Committee Composition

11.3.1 The Steering Committee shall be comprised of members of the GBA. The size and composition of the Steering Committee will be balanced to ensure equal representation from the private and public sector.

11.3.2 Members of the Steering Committees are confirmed with a majority vote by the Board of Directors.

11.3.2 Each Steering Committee will have two co-chairs, including one representative from the private sector and one representative from the public sector. Co-chairs shall be elected by the Steering Committee annually from among the Steering Committee members.

11.4. Role of the co-chairs

11.4.1 The role of the co-chairs is to:

- a) Consult with and advise the Secretariat to prepare Steering Committee meeting agendas
- b) Chair meetings of the Steering Committee
- c) Advise the Secretariat on programme specific questions in between Steering Committee meetings

11.5 Terms of Office

- 11.5.1 The terms of office of the Steering Committee are equal to the terms of the Board of Directors, unless otherwise determined by the Board of Directors.
- 11.5.2 Co-chairs shall hold the term of one year and until such officer's successor is elected, or until such officer's death, resignation or removal. An officer can serve for no more than three one year consecutive full terms but must be re-elected annually by the Steering Committee.

12. SECRETARIAT

- 12.1** The GBA Secretariat ("the Secretariat") shall consist of the Executive Director and other necessary staff, which are contracted directly, interns or consultants or other contractors hired in consultation with the GBA Board.
- 12.2** The Secretariat shall be responsible for the day-to-day running of the GBA organization under the direction of the GBA Board through its Chair and Executive Committee.
- 12.3** The Secretariat shall keep an updated Members' Registry at all times.
- 12.4** The role of the Secretariat is to implement and facilitate the work of the Alliance in accordance with the decisions of the Board of Directors and any policies of the Alliance and this Charter.
- 12.5 The Secretariat is responsible for the following matters:**
- a) the day-to-day management of the Alliance, including financial management;
 - b) on-going communications to membership on the status and affairs of the GBA
 - c) conducting Due Diligence and making recommendations regarding approval of a member to the Board of Directors in accordance with the process set out in section 4.2; similarly, investigating the potential occurrence of a Removal Event in relation to any Member and making a recommendation to the Board of Directors about such removal (as required) in accordance with the process set out in section 4.5;
 - d) drafting the Strategic Plan and Budget for the Executive Committee to review before it is submitted to the Board of Directors for its approval in accordance with the procedure set out in section 14;

- e) proposing to the Executive Committee expenditures outside of an approved Budget during the year for the Executive Committee to review and, if it deems appropriate, submit to the Board of Directors for its approval;
- f) organizing the virtual and in-person meetings of the Board of Directors, the Executive Committee, and the Working Groups, including working with the Chairs on the agenda for such meetings, sending invitations to participants, preparing the minutes of these meetings, distributing them for comments and finalizing and circulating such minutes to the relevant stakeholders;
- g) organizing the Annual General Assembly and other regional Alliance events;
- h) tracking and documenting the progress of the work of the Alliance for the Board of Directors and collating this information annually into a report to be shared with the relevant stakeholders (“Annual Report”);
- i) fundraising;
- j) facilitate the engagement of members and stakeholders;
- k) member recruitment.

13. EXECUTIVE DIRECTOR

- 13.1** The Secretariat shall be led by a full-time Executive Director who will manage the day-to-day running of the GBA, lead the strategy development, resourcing and succession planning, including the selection of necessary staff, oversee development of the GBA Association and provide support to the GBA Board.
- 13.2** The Executive Director shall report directly to the GBA Board through the Chair and Executive Committee and be responsible for the activities of the Secretariat.
- 13.3** The Executive Director shall hold an ex-officio (non-voting) seat on the Board of Directors.

14. STRATEGIC PLAN AND BUDGET

- 14.1** The affairs of the Alliance will be conducted in accordance with the Strategic Plan as approved by the Board of Directors and General Assembly.
- 14.2** The Secretariat will be responsible for annually preparing a draft or any required update to an approved Strategic Plan that provides a framework. The Strategic Plan will, to the extent practicable, set outline items relating to the specific Projects and work of the Alliance to reflect the Alliance's activities as accurately as possible.
- 14.3** The Secretariat will work with the Treasurer to draft an annual budget for review by the Executive Committee and approval by the Board of Directors. If the position of Treasurer is vacant, the Secretariat will work with the Chair of the Board of Directors.

15. POLICIES

- 15.1** The Alliance will, to the extent not inconsistent with this Charter, be managed in accordance with the Policies in force at the Effective Date and otherwise as adopted on an occasional basis by the Board of Directors in accordance with section 8.1.b.
- 15.2** The Secretariat will be responsible for formulating and submitting the amendments to the Policies to the Executive Committee for its review. The Executive Committee may recommend any changes to the draft Policies it considers necessary before the Secretariat submits the (amended) draft Policies to the Board of Directors for its approval.
- 15.3** The Members, by their accession to this Charter, undertake to adhere to the Policies.

16. MISCELLANEOUS

16.1 Term

This Charter and the Alliance will come into effect on the Effective Date and continue until the earlier to occur of:

- a) there being only one (1) Member; or
- b) a unanimous decision of the Board of Directors to wind-up the affairs of the Alliance.

16.2 Amendment

This Charter may be modified only by a unanimous decision of the Board of Directors.

16.3 Assignment

No Member will be entitled to assign or transfer any of its rights, benefits or obligations under this Charter.

16.4 Language

The language of the Alliance is English

APPENDIX 1: GBA PRINCIPLES

10 GBA principles for a sustainable battery value chain, adopted by 42 organizations on 23 Jan. 2020



Establish a circular battery value chain as a major driver to achieve the Paris Agreement



- 1 Maximizing the productivity of batteries in their first life
- 2 Enabling a productive and safe second life use
- 3 Ensuring the circular recovery of battery materials

Establish a low-carbon economy in the value chain, create new jobs and additional economic value



- 4 Ensuring transparency of greenhouse gas emissions and their progressive reduction
- 5 Prioritizing energy efficiency measures and substantially increase the use of renewable energy as a source of power and heat when available
- 6 Fostering battery-enabled renewable energy integration and access with a focus on developing countries
- 7 Supporting high quality job creation and skills development

Safeguard human rights and economic development consistent with the UN Sustainable Development Goals



- 8 Immediately and urgently eliminating child and forced labour, strengthening communities and respecting the human rights of those employed by the value chain
- 9 Fostering protection of public health and the environment, minimizing and remediating the impact from pollution in the value chain
- 10 Supporting responsible trade and anti-corruption practices, local value creation and economic diversification